

Docket No.: NFA-0213

PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of: Tadao NAKAYA, et al.

Based on PCT/JP2004/003418

Group Art Unit: 1711

Application No.: 10/549,481

Confirmation No.: 1721

Filing Date: May 18, 2006

For: BLUE LIGHT-EMITTING COMPOUNDS,  
PROCESSES OF PREPARING THE SAME, AND  
LUMINESCENT ELEMENT INCLUDING THE  
SAME

## REQUEST FOR CORRECTED FILING RECEIPT

VIA FACSIMILE: 571-273-3201

Attention: PCT Section, Customer Service Center

Commissioner for Patents  
P.O. Box 1450  
Washington, D.C. 22313-1450

Sir:

1. Attached is a copy of the official filing receipt received from the USPTO in the above application for which issuance of a corrected filing receipt is respectfully requested. Also enclosed is a copy of the Declaration showing the correct data.
2. There is an error with respect to the following, which is incorrectly entered.

## Error:

1. Title

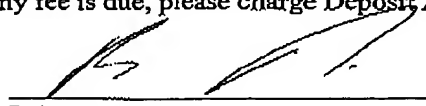
## Correct data to title:

1. BLUE LIGHT-EMITTING COMPOUNDS,  
PROCESSES OF PREPARING THE SAME,  
AND LUMINESCENT ELEMENT INCLUDING  
THE SAME

The correction is due to an error by the USPTO. If any fee is due, please charge Deposit Account No. 18-0013.

Dated: September 6, 2006

Tel. No.: (202) 955-3750

  
\_\_\_\_\_  
Brian K. Dutton  
Reg. No. 47,255  
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RCVD PCT/PTO

6 SEP 2006

09/06/2006 15:07 202-955-5771

RADER FISMAN AND GRA

PAGE 02/07  
Page 1 of 3

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY, DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/549,481	05/18/2006	1711	1280	NFA-0213	32	6	2

CONFIRMATION NO. 1721

23353

RADER FISMAN & GRAUER PLLC  
LION BUILDING  
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WASHINGTON, DC 20036

FILING RECEIPT



\*OC000000020016866\*

Date Mailed: 08/15/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

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## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/03418 03/15/2004

## Foreign Applications

JAPAN 2003-72773 03/17/2003  
JAPAN 2003-139675 05/16/2003

If Required, Foreign Filing License Granted: 08/14/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/549,481**

Projected Publication Date: 11/23/2006

Non-Publication Request: No

**Early Publication Request: No**

**Title**

~~Blue light-emitting compound, method for producing same and light-emitting device utilizing same~~

### Preliminary Class

528

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER**

**Title 35, United States Code, Section 184**

**Title 37. Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The

date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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#### **NOT GRANTED**

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Docket No. NFA-0212

RADER, FISHMAN &amp; GRAUER, PLLC

## Declaration For U.S. Patent Application

As a below named inventor, I hereby declare that:  
 My residence, post office address and citizenship are as stated below my name.  
 I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled  
 (Insert Title) Blue light-Emitting Compounds, Processes of Preparing the Same, And Luminescent Element Including the Same  
 the specification of which is attached hereto unless the following box is checked:

☒ was filed on March 15, 2004 As PCT International Application  
 Number PCT/IP2004/003418 and was amended on September 30, 2004  
 and/or was filed on \_\_\_\_\_ As U.S. Patent Application  
 Number \_\_\_\_\_ and was amended on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.  
 I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. '1.56.  
 I hereby claim foreign priority benefits under 35 U.S.C. '119(a)-(d) or '365(b) of any foreign application(s) for patent or inventor's certificate, or '365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International Application having a filing date before that of the application(s) for which priority is claimed:

(List prior foreign applications)	(Number)	(Country)	(Day/Month/Year Filed)	Priority Claimed <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	<u>JP2003-72773</u>	<u>Japan</u>	<u>17/03/2003</u>	
	<u>JP2003-139675</u>	<u>Japan</u>	<u>16/05/2003</u>	
	_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No
	_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim the benefit under 35 U.S.C. '119(e) of any United States provisional application(s) listed below.

_____	_____
(Application Number)	(Filing Date)
_____	_____
(Application Number)	(Filing Date)

☐ See attached list for additional prior foreign or provisional applications.

I hereby claim the benefit under 35 U.S.C. '120 of any United States application(s) or '365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) (U.S. or PCT) in the manner provided by the first paragraph of 35, U.S.C. '112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. '1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

(List prior U.S. Applications or PCT International applications designating the U.S.)	(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
	_____	_____	_____
	_____	_____	_____

And I hereby appoint the firm of Rader, Fishman & Grauer, PLLC, Customer Number 23353 including as principal attorneys: Richard D. Grauer, Reg. No. 22,388; David T. Nikaido, Reg. No. 22,663; Ronald P. Kananen, Reg. No. 24,104; Ralph T. Rader, Reg. No. 28,772; Carl Schaukowitz, Reg. No. 29,211; Michael D. Fishman, Reg. No. 31,951; Michael B. Stewart, Reg. No. 36,018; Alexander D. Rabinovich, Reg. No. 37,425; Kevin D. Rutherford, Reg. No. 40,412; Glenn E. Forbis, Reg. No. 40,610; Lee Cheng, Reg. No. 40,949; Kristin L. Murphy, Reg. No. 41,212; James F. Kamp, Reg. No. 41,882; Brian K. Dutton, Reg. No. 47,255; Shawn B. Cage, Reg. No. 51,522; Jonathan R. Lee, Reg. No. 56,561; Toshikatsu Imaizumi, Limited Recognition

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Attorney's Docket No.: NFA-0212

The undersigned hereby authorizes the U.S. attorneys named herein to accept and follow instructions from the undersigned's assignee, if any, and/or, if the undersigned is not a resident of the United States, the undersigned's domestic attorney, patent attorney or patent agent, as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys and the undersigned. In the event of a change in the person(s) from whom instructions may be taken, the U.S. attorneys named herein will be so notified by the undersigned.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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